



International Safety Awards 2018

Marking scheme

500 words available per individual question

	Question	5 marks	4 marks	3 marks	2 marks	1 mark	0 marks
1	Describe the main business and operational activities which are undertaken at the site / unit / plant?	This question is mandatory but not marked. The context and background are considered essential for adjudication of scored questions (3-14)					
2	What are the three most significant issues at the site / unit / plant in relation to: - Occupational health hazards - Occupational safety hazards - Welfare concerns	This question is mandatory but not marked. The context and background are considered essential for adjudication of scored questions (3-14)					
3	Describe the methodology for identifying significant health and safety hazards at the site and outline how this process provides opportunities for improvement.	A highly suitable approach for identifying the most significant health and safety hazards and a clear outline of opportunities for improvement. The response is supported with a range of suitable examples.	A suitable approach for identifying the most significant health and safety hazards and a good outline of opportunities for improvement. Suitable examples are cited to support the response.	An adequate approach for identifying the most significant health and safety hazards and a brief outline of opportunities for improvement. Some examples are cited to support the response.	A weak approach for identifying the most significant health and safety hazards and limited outline of opportunities for improvement. Few examples are cited to support the response (or the examples cited lack suitability).	A weak/potentially inadequate approach for identifying the most significant health and safety hazards and no (or poor) outline of opportunities for improvement. No examples cited to support the response (or the examples cited lack suitability).	Question unanswered or no approach outlined.

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<p>4</p>	<p>Explain how the subsequent control measures implemented for these significant health and safety hazards at the site were evaluated for effectiveness.</p>	<p>The most significant health and safety hazards and associated control measures clearly identified.</p> <p>Very robust process for evaluating the effectiveness of the associated control measures.</p> <p>response is supported with a range of suitable examples. The response is limited to 2017 only.</p>	<p>The most significant health and safety hazards and associated control measures identified.</p> <p>Robust process for evaluating the effectiveness of the associated control measures.</p> <p>Suitable examples are cited to support the response. The response is limited to 2017 only.</p>	<p>Relevant health and safety hazards identified together with respective control measures.</p> <p>An adequate process for evaluating the effectiveness of the associated control measures.</p> <p>Examples are cited to support the response. The response is limited to 2017 only.</p>	<p>A health hazard or safety hazard identified. They may not be the most significant and/or the associated control measures are potentially weak.</p> <p>Less than adequate process for evaluating the effectiveness of the associated control measures.</p> <p>Few examples are cited to support the response (or the examples cited lack relevance). The response may stray from or lack explicit reference to 2017.</p>	<p>A health hazard or safety hazard poorly identified. The hazards may not be the most significant and/or the control measures are potentially inadequate.</p> <p>A limited or weak process for evaluating the effectiveness of the associated control measures.</p> <p>Few or no examples are cited to support the response (or the examples cited lack relevance). The response strays from or lacks explicit reference to 2017.</p>	<p>Question unanswered.</p> <p>A health hazard or safety hazard not identified.</p> <p>Control measures not identified.</p> <p>The response lacks reference to 2017.</p>
<p>5</p>	<p>Describe how the effective communication of risk assessment content (including control measures) to all relevant stakeholders is achieved at the site.</p>	<p>Clear and detailed description of methods implemented to ensure effective communication of risk assessments. Relevant stakeholders identified and examples provided</p>	<p>Good description of methods implemented to ensure effective communication of risk assessments. Most stakeholders identified and some examples provided</p>	<p>Adequate description of methods implemented to ensure communication of risk assessments. Few stakeholders identified and limited examples provided</p>	<p>Less than adequate description of limited methods implemented to ensure communication of risk assessments. Limited stakeholders identified and very few examples provided</p>	<p>Poor (unsuitable) description of methods implemented to communication of risk assessments.</p> <p>No stakeholders identified and no examples provided</p>	<p>Question unanswered or no relevant description offered.</p>

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6	<p>Describe how employees participate in the determination (setting) of health and safety objectives and performance targets at the site</p>	<p>Clear and detailed description of how employees participate (i.e. are actively involved rather than just consulted) in the setting of OH&S targets / objectives</p> <p>A range of examples provided which clearly illustrate the process described</p>	<p>Good description of how employees participate (ie are actively involved rather than just consulted) in the setting of OH&S targets / objectives</p> <p>Some examples provided of employee involvement</p>	<p>Adequate description of how employees participate (ie are actively involved rather than just consulted) in the setting of OH&S targets / objectives</p> <p>Limited examples provided of employee involvement</p>	<p>Less than adequate description of how employees participate (ie are actively involved rather than just consulted) in the setting of OH&S targets / objectives</p> <p>No examples provided of employee involvement</p>	<p>Poor (unsuitable) description of how employees participate (ie are actively involved rather than just consulted) in the setting of OH&S targets / objectives</p> <p>No examples provided of employee involvement of</p>	<p>Question unanswered or no relevant description offered</p>
7	<p>Describe how the provision of adequate resources required to achieve health and safety objectives is ensured at the site.</p>	<p>Clear description of the process used to ensure adequate resources (including personnel and financial) are provided to achieve stated health and safety objectives</p> <p>A range of examples provided which clearly illustrate the effectiveness of the process</p>	<p>Good description of the process used to ensure adequate resources (including personnel and financial) are provided to achieve stated health and safety objectives</p> <p>Some examples provided which illustrate the effectiveness of the process</p>	<p>Adequate description of the process used to ensure adequate resources (including personnel and financial) are provided to achieve stated health and safety objectives</p> <p>Limited (few) examples provided which illustrate the effectiveness of the process</p>	<p>Less than adequate description of the process used to ensure adequate resources (including personnel and financial) are provided to achieve stated health and safety objectives</p> <p>No examples provided of process for ensuring resources</p>	<p>Poor (unsuitable) description of the process used to ensure adequate resources (including personnel and financial) are provided to achieve stated health and safety objectives</p> <p>No examples provided of process for ensuring resources</p>	<p>Question unanswered or no relevant description offered</p>
8	<p>Explain how employee wellbeing is promoted and supported at the site.</p>	<p>Clear and detailed explanation of the promotion of employee wellbeing including support provided.</p> <p>A range of examples provided which illustrate employee wellbeing initiatives and support</p>	<p>Good explanation of the promotion of employee wellbeing including support provided.</p> <p>Some examples provided which illustrate employee wellbeing initiatives and support</p>	<p>Adequate explanation of the promotion of employee wellbeing including support provided.</p> <p>Limited examples provided which illustrate employee wellbeing initiatives and support</p>	<p>Less than adequate explanation of the promotion of employee wellbeing including support provided.</p> <p>No examples provided which illustrate employee wellbeing initiatives and support</p>	<p>Poor (unsuitable) explanation of the promotion of employee wellbeing including support provided.</p> <p>No examples provided which illustrate employee wellbeing initiatives and support</p>	<p>Question unanswered or no relevant explanation offered</p>

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9	Describe how the potential for mental wellbeing issues are identified and subsequently managed at this site.	Clear description of the process used to identify and manage potential mental wellbeing issues (including non-work related factors) A number of examples provided to illustrate the process	Good description of the process used to identify and manage potential mental wellbeing issues (including non-work related factors) Examples (more than one) provided to illustrate the process	Adequate description of the process used to identify and manage potential mental wellbeing issues (but does not include non-work related factors) Example provided to illustrate the process	Less than adequate description of the process used to identify and manage potential mental wellbeing issues (but does not include non-work related factors) No examples provided to illustrate the process	Poor (unsuitable) description of the process used to identify and manage potential mental wellbeing issues No examples provided to illustrate the process	Question unanswered or no relevant explanation offered
10	Explain the process for identifying the legislative requirements and any relevant sector standards applicable to the site and the associated measures for monitoring compliance	Clearly identified, highly suitable process for identifying legislative requirements and any relevant sector standards. Very robust measures for monitoring compliance.	Suitable process for identifying legislative requirements and any relevant sector standards. Robust measures for monitoring compliance.	Adequate process for identifying legislative requirements and any relevant sector standards. Suitable measures for monitoring compliance.	A basic process in place for identifying legislative requirements and any relevant sector standards. At least one reasonable measure for monitoring compliance.	A limited or poorly identified process for identifying legislative requirements and any relevant sector standards. The measures for monitoring compliance may be weak or absent in the response.	Question unanswered or no relevant processes/ measures identified.
11	Explain how employees and other relevant stakeholders are consulted with as part of the process for managing operational or organisational changes at this site.	Clear and detailed explanation of how employees and a range of other relevant stakeholders (for example contractors, end users) are consulted as part of the change management process A number of appropriate examples provided to illustrate the consultation process with employees and stakeholders	Good explanation of how employees and other relevant stakeholders are consulted as part of the change management process Appropriate example provided to illustrate the consultation process with employees and stakeholders	Adequate explanation of how employees and other relevant stakeholders are consulted as part of the change management process Appropriate example provided to illustrate the consultation process with employees only	Less than adequate explanation of how employees (but no reference to other stakeholders) are consulted as part of the change management process No example provided to illustrate the consultation process with employees and/or stakeholders	Limited or poor explanation of how employees only are consulted as part of the change management process No example provided to illustrate the consultation process with employees and/or stakeholders	Question unanswered or no relevant process identified.

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12	Describe how all potential emergency events are identified at the site.	<p>Clear and detailed description of the process used to identify all relevant potential emergency events (should include security issues)</p> <p>A range of appropriate examples provided to illustrate the process</p>	<p>Good description of the process used to identify all relevant potential emergency events (should include security issues)</p> <p>Some appropriate examples provided to illustrate the process</p>	<p>Adequate description of the process used to identify relevant potential emergency events</p> <p>An appropriate example provided to illustrate the process</p>	<p>Less than adequate description of the process used to identify some potential emergency events</p> <p>No example provided to illustrate the process</p>	<p>Limited or poor description of the process used to identify few potential emergency events</p> <p>No example provided to illustrate the process</p>	<p>Question unanswered or no relevant process / examples identified.</p>
13	Describe the methods used to ensure operational competency (including health and safety roles) is maintained at the site.	<p>Clear and detailed description of methodology used to ensure operational competency (including health and safety roles) is maintained</p> <p>A range of appropriate examples provided to illustrate monitoring of competency</p>	<p>Good description of methodology used to ensure operational competency (including health and safety roles) is maintained</p> <p>Some appropriate examples provided to illustrate monitoring of competency</p>	<p>Adequate description of methodology used to ensure operational competency is maintained</p> <p>An appropriate example provided to illustrate monitoring of competency</p>	<p>Less than adequate description of a process used to ensure operational competency is maintained</p> <p>No example provided to illustrate the monitoring of competency</p>	<p>Limited or poor description of a process used to ensure operational competency is maintained</p> <p>No example provided to illustrate the monitoring of competency</p>	<p>Question unanswered or no relevant process / examples identified</p>
14	Describe how top management at the site positively influence internal and external stakeholder behaviours in relation to health and safety.	<p>Very clear description of an active effort by top management to positively influence internal and external stakeholder behaviours in relation to health and safety.</p> <p>A range of suitable examples provided.</p>	<p>Clear description of an active effort by top management to positively influence internal and external stakeholder behaviours in relation to health and safety.</p> <p>Suitable examples provided.</p>	<p>Adequate description of an active effort by top management to positively influence internal and external stakeholder behaviours in relation to health and safety.</p> <p>Examples provided.</p>	<p>Limited description of an active effort by top management to positively influence internal and external stakeholder behaviours in relation to health and safety.</p> <p>The evidence may be irregular or inconsistent.</p> <p>Limited or no examples provided.</p>	<p>Very limited description of an active effort by top management to positively influence internal and external stakeholder behaviours in relation to health and safety.</p> <p>The evidence may be irregular or inconsistent.</p> <p>Limited or no examples provided.</p>	<p>Question not answered or no relevant evidence provided.</p>