



**Level 3 Certificate in Occupational Safety and Health**  
**Unit 1: Principles of Health and Safety Management**  
**Specimen Examination Question Paper**

**DO NOT OPEN THIS BOOKLET UNTIL YOU ARE INSTRUCTED TO DO SO**

**PLEASE PROVIDE THE FOLLOWING INFORMATION**

Candidate name: .....

Candidate number: .....

Candidate signature: .....

For Examiner Use

Question number	Mark
1	
2	
3	
4	
5	
6	
7	
8	
<b>Total</b>	

**INSTRUCTIONS TO CANDIDATES**

You are allowed **1 hour 30 minutes** to complete this examination.  
 There are eight questions each worth 12 marks.

**Answer ALL questions.**

Marks for part questions are shown in square brackets.

Reference should be made to relevant legislation and workplace examples wherever appropriate.

Write your answers in the spaces provided. If you need to continue an answer, use the additional sheets at the back of this booklet, in which case write the question number clearly in the margin.

You may make rough notes at the back of this booklet, but you must cross out any work that you do not wish to be marked.

Write in black or blue pen only. If you wish to make a correction, cross out the word(s) to be changed. Do not use ink erasers or correcting fluids.

**Question 1**

**(a) Explain the difference** between an accident, an incident and a near miss.

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**[2]**

**(b) Explain the difference** between direct and indirect costs in relation to the costs of accidents.

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**[4]**

**(c) Outline** the moral, legal and economic reasons for preventing accidents.

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**[6]**

**Question 2**

**(a)** **Outline** the circumstances in which an employer must have a written health and safety policy.

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[2]

**(b)** For a typical health and safety policy, **outline** the content of the:

**(i)** statement of intent;

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[3]

**(ii)** arrangements for health and safety.

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[4]

**(c)** The law requires revision of a health and safety policy “as often as may be appropriate”. **Outline THREE** circumstances in which revision may be necessary.

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[3]

**Question 3**

(a) **Outline** the main stages of a suitable and sufficient risk assessment.

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[5]

(b) **Outline** the circumstances in which a risk assessment should be reviewed.

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[3]

(c) **Outline FOUR** of the principles of prevention found in Schedule 1 of the Management of Health and Safety at Work Regulations 1999.

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[4]

**Question 4**

(a) **Complete** the list below by placing the following Courts in their correct order:  
Crown Court; Magistrate’s Court; Court of Appeal; High Court.

- 1. Supreme Court
- 2. ....
- 3. ....
- 4. ....
- 5. ....

[4]

(b) **Outline FOUR** defences available to an employer who is sued for breach of duty under common law.

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[4]

(c) **Explain** what must be proved for an organisation to be found guilty of corporate manslaughter.

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[4]

**Question 5**

**(a) Outline** the main features of a health and safety management system.

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[6]

**(b)** Health and safety in the workplace can be monitored using both proactive and reactive techniques. **Outline THREE** examples of each.

**(i)** Proactive monitoring techniques

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[3]

**(i)** Reactive monitoring techniques

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[3]

**Question 6**

**(a) Outline** the importance of effective communication in relation to health and safety in the workplace.

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**[4]**

**(b) Outline** what employees can do to ensure that their own actions or inactions do not put themselves or others at risk.

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**[4]**

**(c) Identify FOUR** occasions when an organisation may need to go outside the company for expert help or advice to perform specific health and safety related tasks.

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**[4]**

**Question 7**

**(a) Outline SIX ways in which an employer can promote a positive health and safety culture in the workplace.**

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**[6]**

**(b) Outline SIX barriers to a positive health and safety culture in the workplace.**

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**[6]**



### Question 8

Outline **THREE** ways in which **inadequate** health provision can affect both people and organisations.

**(a)** People

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**[6]**

**(b)** Organisations

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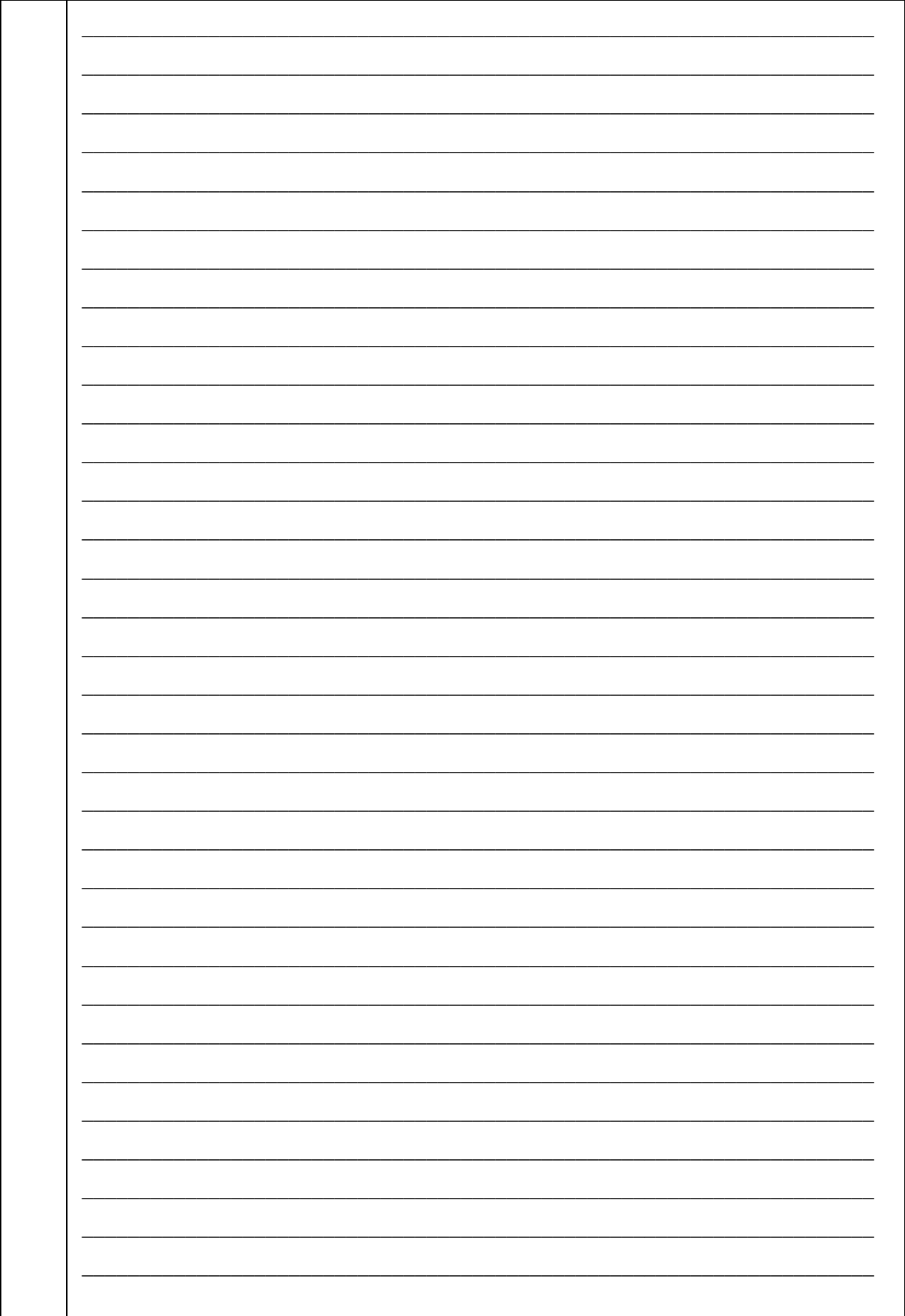
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**[6]**

**Additional Sheets**

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